

COPY OF PAPERS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 7310

HOWARD SIMMONS ET AL

Examiner: Unknown

Serial No.: 10/039,235

Docket No. H2431-ED

Filed: December 31, 2001

Art Unit: 2837

Title:

COMMUTATION AND VELOCITY CONTROL SYSTEM

FOR BRUSHLESS DC MOTOR

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement, Form PTO-1449, submitted in compliance with:

- [X] 37 C.F.R. 1.97(b) within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last. No certification, petition or fees required.
- [] 37 C.F.R. 1.97(c) before the mailing date of either a final action under 37 C.F.R. 1.113 or a notice of allowance under 37 C.F.R. 1.311, whichever occurs first. Box (1), (2) or (3) herebelow has been marked accordingly.
- [] 37 C.F.R. 1.97(d) after the mailing date of either a final action under 37 C.F.R. 1.113 or a notice of allowance under 37 C.F.R. 1.311, whichever occurs first, but before payment of the issue fee. Boxes (1) or (2), plus (3) herebelow have been marked accordingly.
- [] 37 C.F.R. 1.97(i) Information disclosure statements filed before the grant of a patent, which do not comply with this section or §1.98 will be placed in the file, but will not be considered by the office.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class small in an envelope addressed ta: Commissioner of Patents and Trademarks, Washington, DC 20231, es ex

Date

- (1) [X] 37 C.F.R. 1.97(e)(1) Certification each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
- (2) [] 37 C.F.R. 1.97(e)(2) Certification no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the information disclosure statement.
- (3)[] 37 C.F.R. 1.17(p) \$180.00

Best available copies of information listed are enclosed.

[X] A copy of a foreign search report is submitted herewith.

Please charge the required fee (if any) or credit overpayment for this Certification and Petition to Deposit Account No. 08-0385, Order No. H2431-ED (a duplicate copy hereof is enclosed).

Respectfully submitted,

HOWARD SIMMONS ET AL

Gregory R. Stephenson

Reg. No. 44,356

Hamilton Sundstrand Patent Department, MS 1-1-BC18 Windsor Locks, CT 06096 (860) 654-2591 Docket No.: H2431-ED

Date: September 3, 2002

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